



Sustainability as an opportunity for financial market participants

The topic of sustainable finance is becoming increasingly important and presents a challenge to the financial world. How can financial sector players position themselves in the context of sustainability?

We help you meet the growing expectations of your stakeholders. Count on us and our expertise to support you in developing or implementing sustainable finance-compliant services. We can also assist you with compliance and risk management, and help you make a decisive contribution to improving the transparency and reliability of data.

Assessment and governance

- Strategic positioning with core options
- Sustainable finance assessment (governance, internal control systems, risk and compliance)
- Analysis of relevant markets, regulatory framework and identification of need for action
- Internal stakeholder training (Board of Directors, Executive Board, Compliance, Risk and Front)

Product level

- Analysis or structuring of ESG investment products and portfolios
- Compliance with regulatory standards for classifications, product documentation and disclosures
- Health check: appropriate classification, support in avoiding greenwashing

Institutional level

- Implementation of sustainable finance in processes, controls, directives and forms
- Consideration of ESG risks in the current risk management system
- Sustainability reporting for clients and the financial institution
- Support in complying with regulatory requirements, voluntary standards or memberships (e.g. UN PRB, UN GC, GRI)
- Introduction of new disclosure standards such as TCFD
- Health check: compliance with supervisory and regulatory requirements
- Health check: consistency of client agreements, documentation, systems and actual assets

Point of sale

- Inclusion of ESG factors in the investment and advisory process (suitability)
- Recording of client preferences and stringent implementation in processes
- Due diligence based on ESG factors
- Forms and reporting

European Union

By global standards, the scope and consequences of regulatory requirements in Switzerland are currently manageable. Nevertheless, obligations are following international momentum and rapidly increasing in importance. You may even already have EU exposure and be subject to EU requirements. We will be happy to answer your questions on the more challenging EU regulatory packages, such as the Taxonomy, SFDR, CSRD and MiFID II changes.

Digital regulatory monitoring

Manage current regulatory requirements and benefit from personalised recommendations – on your computer, tablet or smartphone:

www.bdo.ch/digital-regulatory-monitoring-en

More exploring, less explaining?

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Discover more:

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